

ELECTRIC, GAS, STEAM AND WATER UTILITY APPENDIX A

The information requested in this questionnaire by the Colorado Public Utilities commission shall be provided under oath by each electric, gas, steam and water utility and an affidavit stating such shall accompany the response. The original shall be filed with the Chief of Fixed Utilities of the Commission. It required that the response be filed each year simultaneously with the Commission required annual report.

The following definitions shall apply to all sections unless otherwise specified. Reference to "test year" shall mean the most recent calendar year ending December 31st, and shall be consistent with the calendar year used by the utility in filing the Commission required annual report. Reference to "utility" shall mean the company, subsidiary or division, whichever is applicable.

1. Set forth for the test period, the utility's average rate base (or year end if authorized) as adjusted and its components, including the computation of working capital; an income statement with all determinable adjustments; a statement showing capitalization and composite costs of preferred stock; return on equity and composite cost of equity; and the resulting rate of return on rate base. Said amounts are to be consistent with prior Commission decisions applicable to each utility, or, if no prior decisions are applicable, with the principles generally applied to other comparable utilities regulated by this Commission.
2. Set forth, in schedule form, the advertising expense incurred by the utility for each month of the test year, the media utilized and the amounts spent in each medium, and the amounts of said advertising expense incurred in the following categories: (a) sales promotion (for the purpose of inducing customers to retain, or non-customer to select, the utility's service, excluding the sales of merchandise); (b) political (for the purpose of influencing public opinion with respect to the election or appointment of public officials, or the adoption, repeal, revocation or amendment or referenda, legislation or ordinances, or influencing the decisions of public officials); (c) institutional or goodwill (for the purpose of informing any person or persons of the utility's activities or status in the community it serves); (d) rate justification; (e) conservation of energy; (f) environmental; (g) customer information; (h) load factor; (i) franchise; (j) safety; (k) energy supply; and, (l) other (describe). If any advertising is undertaken by an association on behalf of its members or someone other than the utility, the amount of the expense charged to the utility shall be included above and designated as such. Separately set forth which of the above

categories and amounts have been included as above the line expenses for the test year.

3. Set forth, in schedule form, the amounts of the utility's donations, club dues or fees, association dues or fees, organization dues or fees, benevolent group dues or fees, fraternal group dues or fees, trade association dues or fees, and other contributions for each month of the test year. Specify which items and amounts have been made on behalf of the utility and which have been made on behalf of the utility's employees, and identify the latter. Separately set forth which of the above categories and amounts have been included as above the line expenses for the test year.
4. Set forth, in schedule form, the amounts of the utility's lobbying expenses for each month of the test year. The schedule should list the salaries paid, the time spent lobbying and the name of each individual who lobbies for the firm. Separately set forth which of the above expenses have been included as above the line expenses for the test year.